

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
MITRAL.1CP3C1APPLICATION NO.
10/634,643

MAY 20 2004

INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT
Lashinski et al.FILING DATE
August 5, 2003GROUP
3738

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
TC	1	4,128,100	12/1978	Wendorff			
	2	4,917,698	04/1990	Carpentier et al.			
	3	5,006,106	04/1991	Angelchik			
	4	5,041,130	08/1991	Cosgrove et al.			
	5	5,061,277	10/1991	Carpentier et al.			
	6	5,064,431	11/1991	Gilbertson et al.			
	7	5,099,838	03/1992	Bardy			
	8	5,104,407	04/1992	Lam et al.			
	9	5,163,955	11/1992	Love et al.			
	10	5,165,403	11/1992	Mehra			
	11	5,201,880	04/1993	Wright et al.			
	12	5,290,300	03/1994	Cosgrove et al.			
	13	5,304,131	04/1994	Paskar			
	14	5,350,420	09/1994	Cosgrove et al.			
	15	5,390,661	02/1995	Griffith et al.			
	16	5,450,860	09/1995	O'Connor			
	17	5,476,471	12/1995	Shifrin et al.			
	18	5,545,209	08/1996	Roberts et al.			
	19	5,562,619	10/1996	Mirarchi et al.			
	20	5,593,424	01/1997	Northrup III			
	21	5,702,343	12/1997	Alfernness			
	22	5,716,397	02/1998	Myers			
	23	5,824,066	10/1998	Gross			
	24	5,891,108	04/1999	Leone et al.			
	25	5,919,233	07/1999	Knopf et al.			
	26	5,935,081	08/1999	Kadhiresan			
V	27	5,961,440	10/1999	Schweich, Jr. et al.			

EXAMINER

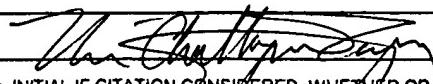
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2/2/06

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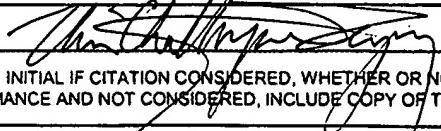
FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. MITRAL.1CP3C1	APPLICATION NO. 10/634,643
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Lashinski et al.	
		FILING DATE August 5, 2003	GROUP 3738

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
TC	28	5,961,545	10/1999	Lentz et al.			
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	30	6,001,127	12/1999	Schoon et al.			
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	51	6,174,279 B1	01/2001	Girard			
	52	6,183,411 B1	02/2001	Mortier et al.			
	53	6,183,512 B1	02/2001	Howanec, Jr. et al.			
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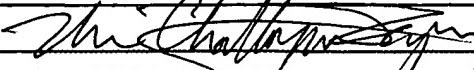
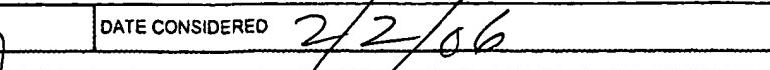
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
TC	55	6,210,432 B1	04/2001	Solem et al.			
	56	6,217,610 B1	04/2001	Carpentier et al.			
	57	6,221,103 B1	04/2001	Melvin			
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	65	6,402,781 B1	06/2002	Langberg et al.			
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	67	6,602,288	08/2003	Cosgrove et al.			
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	77	60/266,766	02/2001	Cohn et al.			
	78	60/273,893	03/2001	Cohn et al.			
	79	60/278,153	03/2001	Cohn et al.			
	80	60/279,973	03/2001	Taylor et al.			
✓	81	60/279,974	03/2001	Taylor et al.			

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MC	82	60/280,038	03/2001	Cohn et al.			
	83	60/283,820	04/2001	Cohn et al.			
	84	60/312,217	08/2001	Taylor et al.			
	85	60/348/424	01/2002	Taylor et al.			
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO
MC	87	WO 91/19465	12/1991	PCT			
	88	WO 96/34211	10/1996	PCT			
	89	WO 98/29041	07/1998	PCT			
	90	WO 99/30647	06/1999	PCT			
	91	WO 99/44534	09/1999	PCT			
	92	WO 00/06026	02/2000	PCT			
	93	WO 00/06027	02/2000	PCT			
	94	WO 00/06028	02/2000	PCT			
	95	WO 00/16700	03/2000	PCT			
	96	WO 00/18320	04/2000	PCT			
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	100	EP 0 727 239 A2	08/1996	Europe			
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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
M	103 Boyd et al., <i>Tricuspid annuloplasty</i> , September 1974, <i>The Journal of Thoracic and Cardiovascular Surgery</i> , pg. 344, 351
	104 Kurlansky et al., <i>Adjustable Annuloplasty for Tricuspid Insufficiency</i> , October 1987, <i>The Annals of Thoracic Surgery</i> , pg. 404-406
	105 Alonso-Lej, <i>Adjustable Annuloplasty for Tricuspid Insufficiency</i> , September 1988, <i>The Annals of Thoracic Surgery</i> , Letter to the Editor, pg. 368-369
	106 Chachques et al., <i>Latissimus Dorsi Dynamic Cardiomyoplasty</i> , 1989, <i>The Society of Thoracic Surgeons</i> , pg. 600-604
	107 McCarthy et al., <i>Clinical experience with the Novacor ventricular assist system</i> , May 1990, <i>J Thorac Cardiovasc Surg</i> , pg. 578-587
	108 Farrar et al., <i>A New Skeletal Muscle Linear-pull Energy Convertor as a Power Source for Prosthetic Circulatory Support Devices</i> , September 1992, <i>The Journal of Heart and Lung Transplantation</i> , pg. S341-S349
	109 Bolling et al., <i>Early outcome of mitral valve reconstruction in patients with end-stage cardiomyopathy</i> , April 1995, <i>The Journal of Thoracic and Cardiovascular Surgery</i> , pg. 676-683
	110 Bach et al., <i>Early improvement in congestive heart failure after correction of secondary mitral regurgitation in end-stage cardiomyopathy</i> , June 1995, <i>American Heart Journal</i> , pg. 1165-1170
	111 Bearson et al., <i>Development of a Prototype Magnetically Suspended Rotar Ventricular Assist Device</i> , <i>ASAIO Journal</i> 1996, pg. 275-280
	112 Thoratec Ventricular Assist Device System, 1996 Brochure
	113 McCarthy et al., <i>Early Results with Partial Left Ventriculectomy</i> , May 1997, Presented at the 77 th Annual Meeting of the American Association of Thoracic Surgeons
	114 Buchanan et al., <i>Circumferential Suture of the Mitral Annulus for Correction of Mitral Regurgitation in Dogs</i> , 1998, <i>Veterinary Surgery Vol. 27</i> , pg. 182-193
	115 Yamani et al., <i>Surgical Treatment of Chronic Heart Failure</i> , 2000, <i>Congestive Heart Failure Second Edition</i> , pg 767-784
	116 Bristow et al., <i>Heart Failure Management Using Implantable Devices for Ventricular Resynchronization: Comparison of Medical Therapy, Pacing, and Defibrillation in Chronic Heart Failure (COMPANION) Trial</i> , September 2000, <i>Journal of Cardiac Failure</i> , Vol. 6, No. 3, pg 276-285
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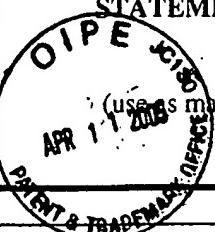
EXAMINER <i>Mr. Christopher J. Thompson</i>	DATE CONSIDERED <i>2/2/06</i>
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FORM PTO/SB/08A/B (10-01)
Substitute for PTO-1449A/B

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

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Attorney Docket Number	PVI5813CIP2CIP1CIP1CON1/ 53756/MEG/E303
Application Number	10/634,643
Filing Date	August 5, 2003
Applicant(s)	Randall Lashinski et al.
Group Art Unit	3738
Examiner Name	Urmi Chattopadhyay

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
MC		US 2001/0044568 A1	11-22-2001	Langberg, et al.
		US 2002/0016628 A1	02-07-2002	Langberg et al.
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V		US 2004/0098116 A1	05-20-2004	Callas et al.

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FORM PTO/SB/08A/B (10-01)
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INFORMATION DISCLOSURE

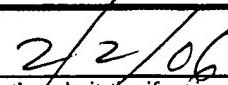
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		5,064,435	11-12-1991	Porter
V		5,104,404	04-14-1992	Wolff

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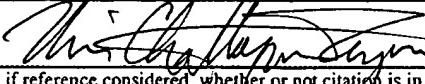
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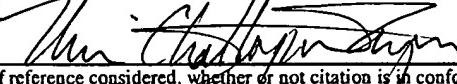
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U.C.		5,170,802	12-15-1992	Mehra
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		6,264,691 B1	07-24-2001	Gabbay
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U		6,343,605 B1	02-05-2002	Lafontaine

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MC		DE 196 11 755 A1	02-05-1998	Gleich Anmelder	<input type="checkbox"/>
MC		WO 95/16407	06-22-1995	Reimold et al.	<input type="checkbox"/>

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	Application Number	10/634,643
	Filing Date	August 5, 2003
	Applicant(s)	Randall Lashinski et al.
	Group Art Unit	3738
	Examiner Name	Urmii Chattopadhyay

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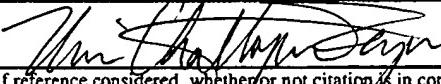
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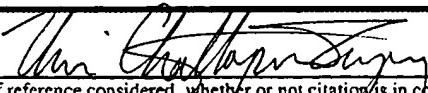
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